



POLICY ON PLEDGING OF SHARES

1. In pursuance of SEBI Circular No SEBI/HO/MIRSD/MIRSD2/CIR/P/2016/95 dated September 26, 2016 a stock broker is entitled to have lien on client's securities to the extent of the client's indebtedness to the stock broker and stock broker may pledge these securities. With your explicit authorization, we are pledging your shares to extent of your debit balance excluding debit of derivative margin.

A statement of shares so pledged for your debt is sent to you indicating date of pledge, client code, debit at the end of trading day (as adjusted for open bills, uncleared cheques deposited by you and uncleared cheque issued to you), the detail of collaterals available with us, pledged quantity out of collaterals available, pledged value, borrowing and details of pledgee.

2. The accounting policy of the Company for pledging/de-pledging as accordingly been aligned with the above.

Henceforth:-

- a. Client cheques/NEFT/RTGS received up to 3 p.m only will get reflected in the ledger.
- b. Cheques/NEFT/RTGS received after 3p.m (cut off point of time when the pledging/un-pledging takes place.) The entire process pledging/de-pledging will get shifted to the next day.
- c. The cheque deposited/NEFT/RTGS in the Company's account will be credited to the account on the date the information regarding the said deposit is received in the Head Office. It will be in the interest of the client and incumbent on the Branch/Authorised Person/Sub Broker to advise the Accounts Department simultaneously with the act of deposit cheque/NEFT/RTGS.

Failure to transmit information by the Client/Branch etc., will result in keeping the payment in the "suspense account" till the date of information. Consequently pledged shares will remain pledged for the "suspense" period.

- d. If the credit against the cheque deposited/financial instrument is received before 3 p.m. (cut off point of time) the share would get un-pledged on the same day. The credit received after 3 p.m would reflect action only on the following working day.

All concerned to please note



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Member : NSE Cash, F & O, Currency Derivatives ★
BSE CASH, F & O
MCX-SX - Cash, F & O, Currency Derivatives
Depository Participant - NSDL, CDSL
CATEGORY 1 : R & T AGENT Tel : 011-47671214